



Disclosure Information

- Investor Alerts Homepage

www.finra.org/InvestorInformation/InvestorAlerts/index.htm?ssSourceNodeId=13

- FINRA Homepage

www.finra.org

- FINRA BrokerCheck

www.brokercheck.finra.org

Variable Annuities

- Should you exchange your variable annuity?

www.finra.org/InvestorInformation/InvestorAlerts/AnnuitiesandInsurance/ShouldYouExchangeYourVariableAnnuity

- Should you exchange your Life Insurance policy?

www.finra.org/InvestorInformation/InvestorAlerts/AnnuitiesandInsurance/ShouldYouExchangeYourLifeInsurancePolicy

- Variable Annuities: Beyond the Hard Sell

www.finra.org/InvestorInformation/InvestorAlerts/AnnuitiesandInsurance/VariableAnnuitiesBeyondtheHardSell

Mutual Funds

- Class B Mutual Fund Shares: Do They Make the Grade?

www.finra.org/InvestorInformation/InvestorAlerts/MutualFunds/ClassBMutualFundSharesDoTheyMaketheGrade

- Mutual Fund Breakpoints: Are You Owed a Refund?

www.finra.org/InvestorInformation/InvestorAlerts/MutualFunds/MutualFundBreakpointsAreYouOwedaRefund

- Mutual Fund Breakpoints: A Break Worth Taking

www.finra.org/InvestorInformation/InvestorAlerts/MutualFunds/MutualFundBreakpointsABreakWorthTaking

- Understanding Mutual Fund Classes

www.finra.org/InvestorInformation/InvestorAlerts/MutualFunds/UnderstandingMutualFundClasses

Equity Indexed Annuities

- Equity-Indexed Annuities-A Complex Choice

www.finra.org/InvestorInformation/InvestorAlerts/AnnuitiesandInsurance/Equity-IndexedAnnuities-AComplexChoice

529 College Savings Plans

- 529 Plan Information

www.finra.org/InvestorInformation/InvestorAlerts/529Plans/CollegeSavingsPlans--SchoolYourselfBeforeYouInvest